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29/5, K/16 (Item 13 from file: 350)
DIALOG(R) File 350: Der went WPIX
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0009354600 - Drawing available
WPI ACC NO: 1999-287698/ 199927
XRPX Acc No: N1999-214878
M cro-graphic device for anti-forgery protection of e.g. bank notes and
credit cards
Patent Assignee: COMMONWEALTH SCI & IND RES ORG (CSIR); KIMM M C (KIMM-I)
; LEE R A (LEER-I); QUINT G L (QUIN-I)
Inventor: LEE R; LEE R A; QUINT G L; KIMM M C
Patent Family (8 patents, 81 countries)
Pat ent
                                       Application
Number
                                      Number
                                                         Ki nd
                    Ki nd
                            Dat e
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WO 1999017941
                     A1
                          19990415
                                      WO 1998AU821
                                                           A 19980930
                                                                            199927
AU 199893315
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                                      AU 199893315
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EP 1023187
                     Α1
                          20000802
                                      EP 1998946157
                                                               19980930
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                                       WD 1998AU821
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AU 732931
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                                      AU 199893315
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EP 1023187
                          20070307
                                       EP 1998946157
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                                                                            200720
                                      WO 1998AU821
                                                            Α
                                                               19980930
DE 69837275
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                          20070419
                                      DE 69837275
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                                                                            200729
                                       EP 1998946157
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                                      WD 1998AU821
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DE 69837275
                     T2
                          20071115
                                      DE 69837275
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                                       EP 1998946157
                                                               19980930
                                                            Α
                                       WO 1998AU821
                                                               19980930
US 20080088124
                     Α1
                          20080417
                                      WO 1998AU821
                                                            Α
                                                               19980930
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                                      US 2000509649
                                                            Α
                                                               20000330
                                      US 2007691761
                                                               20070327
Priority Applications (no., kind, date): AU 19979572 A 19971002
  Alerting Abstract WO A1
  NOVELTY - A micro-graphic device (1) has a surface
                                                                     relief structure
(2) with regions (3) which include grey scale regions (4) too small to be separately resolved by the human eye. Each region is one of a limited
number of different grey scale region structure types appearing to have different intensities when illuminated by a light source (5) and viewed by
an observer (6) because of their different scattering characteristics.
  DESCRIPTION - An independent claim is included for a valuable document
incorporating micro-graphic device.
USE - Anti-forgery protection of bank-notes, credit cards, cheques,
share certificates etc.
  ADVANTAGE - Improves
                             security of items.
  DESCRIPTION OF DRAWINGS - The drawing is a schematic diagramillustrating
operation of the invention
   1 Micro-graphic device
      Surface
                   relief
                            structure
   3 Regions
   4 Grey scale regions
   5 Light source
   6 Observer
Title Terms/Index Terms/Additional Words: M.CRO; GRAPHIC; DEVICE; ANTI; FORGE; PROTECT; BANK; NOTE; CREDIT; CARD
Class Codes
International Classification (+ Attributes)
IPC + Level Value Position Status Version
ECLA: B41M 003/14, B42D 015/10
ICO: L41M 003:14T, L42D 035:22
US Classification, Current Main: 283-072000
US Classification, Issued: 28372
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File Segment: EngPl; EPl; DWPl Class: T04; V07; P76; P78 Manual Codes (EPl/S-X): T04-C02; T04-D07B1; V07-F02C

29/5, K/18 (Item 15 from file: 350) DIALOG(R) File 350: Der went WPIX (c) 2008 Thomson Reuters. All rts. reserv. 0008284448 - Drawing available WPI ACC NO: 1997-393846/ 199736 XRPX Acc No: N1997-327722 Surface pattern for value bearing papers, bonds and packaging foils - has at least two surface portions with relief structures formed by superimposition of four gratings respectively
Patent Assignee: ELECTROWATT TECHNOLOGY INNOVATION AG (ELEC-N); LANDIS &
GYR TECHNOLOGY INNOVATION AG (LANI); OVD KINEGRAM AG (OVDK-N) Inventor: STAUB R; TOMPKIN W R Patent Family (7 patents, 67 countries) Application Pat ent Number Ki nd Dat e Number Ki nd Dat e Updat e WO 1997027504 Α1 19970731 WD 1996EP2599 19960617 199736 Α AU 199663559 19970820 AU 199663559 Α 19960617 199749 Ε EP 1996922815 EP 876629 Α1 19981111 19960617 199849 WO 1996EP2599 19960617 US 5969863 19991019 WO 1996EP2599 Α Α 19960617 199950 Ε US 1998117305 Α 19980903 EP 1996922815 EP 876629 B1 20020814 Α 19960617 200255 Ε Α WO 1996EP2599 19960617 DE 69623044 Ε 20020919 DE 69623044 Α 19960617 200269 EP 1996922815 Α 19960617 WO 1996EP2599 19960617 CA 2241285 C 20040817 CA 2241285 Α 19960617 200455 E

Priority Applications (no., kind, date): CH 1996210 A 19960126

Alerting Abstract WO A1

The pattern (10) has at least two **surface** portions (11,12) which contain microscopically fine, **light diffracting relief** structures. The **surface** portions light up upon rotary and or tilting movement. The relief structure of the first surface portion is a grating structure which is formed by the **superimposition** of first and second gratings G1 and G2 respectively and that the **relief** structures of the second **surface**

WO 1996EP2599

19960617

portion is a grating Gr or a further grating structure which is formed by the superimposition of a third grating G3 and a fourth grating G4.

The furrows of the grating G1 and the furrows of the grating G2 include an azimuth angle, that the grating G3 is identical to the grating G1 and the grating G4 is identical to the grating G3 and the furrows of the grating G4 include G3 and the furrows of the grating G4 include G3 and the furrows of the grating G4 include G5 and the furrows of the grating G4 include G5 and the furrows of the grating G4 include G5 and grating g5 tructures which

ADVANTAGE - Has conspicuous patterns of optical grating structures, which is difficult to forge.

Title Terms/Index Terms/Additional Words: SURFACE; PATTERN; VALUE; BEARING; PAPER; BOND; PACKAGE; FOIL; TWO; PORTION; RELIEF; STRUC FORMING; SUPERIMPOSED; FOUR; GRATING; RESPECTIVE RELIEF; STRUCTURE;

Class Codes

International Classification (Main): C02B-005/18 International Classification (+ Attributes) IPC + Level Value Position Status Version G02B-0005/18 A I R 20060101 G02B-0005/18 C R 20060101 ECLA: G02B-005/18E

US Classification, Issued: 359567, 359572, 359576, 3592, 283902

29/5, K/26 (Item 23 from file: 350) DIALOG(R) File 350: Der went WPIX

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0005005052 - Drawing available WPI ACC NO: 1989-257152/ **198936**

Document security grid structure preventing forgery - uses several partial surfaces providing different diffraction characteristics Patent Assignee: LGZ LANDIS & GYR ZUG AG (LANI) Inventor: ANTES G. SAXER C

Patent Family (6 patents, 9 countries)

Application Pat ent Number Number Ki nd Dat e Kind Date Updat e EP 330738 19890906 EP 1988119062 A 19881117 198936 AU 198930841 Α 19890907 198944 Ε 19890215 US 4984824 19910115 US 1989311596 199106 E EP 330738 В 19911113 EP 1988119062 19881117 199146 DE 3866230 G 19911219 199201 Ε 19950822 CA 591661 A 19890221 CA 1336779 C 199540 E

Priority Applications (no., kind, date): CH 1988805 A 19880303

Patent Details

Ki nd Pg Dwg Filing Notes 7 5 Lan EP 330738 Α DE Regional Designated States, Original: AT CH DE FR CB LI EP 330738 В ΕN Regional Designated States, Original: AT CH DE FR GB LI CA 1336779 C EN

Alerting Abstract EP A

The grid structure (7) is sandwiched between a protective base layer (5) and an optical coating (4) and comprises a number of partial surfaces (8, 9, 10) each defined by a microscopic **relief** structure (12), which exhibit different optical diffraction effects upon visual examination.

The microscopic **relief** structure (12) has more than 10 lines per mm and

at least one group (8,9) of the partial **surfaces** (8,9,10) have a max. width of 0.3 mm This group (8,9) pref. define a specific geometric shape or an alphanumeric figure.

ADVANTAGE - Large number of different partial surfaces makes forgery of document very difficult.

Equivalent Alerting Abstract US A

The structure, which serves as a security element comprises surface portions with predetermined **relief** structures having spatial frequencies of over 10 lines/mm Each **surface** portion is different from directly adjoining **surface** portions and at least some of the **surface** portions have a maximum dimension of less than 0.3 mm

To the naked eye, the pattern of **surface** portions on the document appears as a mesh of dots and lines. However, to an examiner with a magnifying device, the dots and lines appear as numbers, characters or

other graphic features.

USE - A document with an embossed macroscopic structure and acting through optical diffraction. @6pp)@

29/5, K/30 (Item 27 from file: 350)
DIALCG(R) File 350: Derwent WPIX
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0000629699

WPI ACC NO: 1974-32959V/ 197418

Printing separate holograms on two sides of tape - hologram axes inclined to plane of object and reference beams, with transparent vinyl tape

Patent Assignee: RCA CORP (RADC)
Inventor: FRATTAROLA J R; HANNAN W J
Patent Family (6 patents, 6 countries)
Patent Application

Number Ki nd Ki nd Dat e Number Dat e Updat e 19740425 DE 2350109 DE 2350109 A 19731005 197418 Α NL 197313692 19740417 197418 Α Ε FR 2203535 Α 19740614 197429 Ε US 3882207 CA 992775 Α 19750506 US 1973407545 A 19731018 197520 Е 19760713 Ε Α 197631 CB 1448095 19760902 197636 Ε

Priority Applications (no., kind, date): US 1972296861 A 19721012; US 1973407545 A 19731018

Patent Details

Number Kind Lan Pg Dwg Filing Notes CA 992775 A EN

Alerting Abstract DE A

An information recording medium of transparent sheet has separate <code>relief</code> patterns on its opposite faces, at least one of these patterns being a <code>hologram</code>. Pref. one of the <code>relief</code> patterns comprises an inclined, eccentric <code>hologram</code> formed by an object beam and a reference beam which define a plane at an <code>inclined</code> angle to the longitudinal axis of the <code>hologram</code>. Alternatively, each of the separate <code>relief</code> patterns comprises an inclined, eccentric <code>hologram</code> formed by an object and a <code>relief</code> beam defining a plane which is inclined relative to the longitudinal axis; when a monochromatic reading beam shines through the sheet these two opposed <code>relief</code> patterns provide reconstructed pictures which are phase-displaced. The sheet is pref. of a casting vinyl, having an elongation.

Title Terms/Index Terms/Additional Words: PRINT; SEPARATE; HOLOGRAM; TWO; SIDE; TAPE; AXIS; INCLINE; PLANE; OBJECT; REFERENCE; BEAM, TRANSPARENT; VINYL

Class Codes

(Additional/Secondary): B29C-017/00, B29D-011/00, B29D-017/00, G02B-027/00, G03B-035/00, G03C-009/08, G11B-007/00 ECLA: B29C-059/04, G03H-001/02, H04N-005/76 US Classification, Issued: 2641.3, 2641.6, 2642.7, 264284, 3593, 35912, 359900 26/ 5/ 11 (Item 11 from file: 348) DI ALOG(R) FILE 348: EUROPEAN PATENTS (c) 2008 European Patent Office. All rts. reserv.

00511126 SECURI TY DEVI CE AND AUTHENTI CATABLE I TEM SI CHERHEI TSEI NRI CHTUNG UND BEGLAUBI GUNGSFAHI GES STUCK DI SPOSITIF DE SECURITE ET OBJET POUVANT ETRE AUTHENTIFIE PATENT ASSIGNEE:

THOMAS DE LA RUE LIMITED, (490914), 6 Agar Street, London WC2N 4DE, (GB), (applicant designated states: AT; BE; CH; DE; DK; ES; FR; GR; IT; LI; LU; NL; SE) I NVENTÓR:

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LEGAL RÉPRESENTATIVE:

Skone James, Robert Edmund et al (50281), GLL JENNINGS & EVERY Broadgate House 7 Eldon Street, London EC2M 7LH, (GB)

EP 558574 A1 930908 (Basic) EP 558574 B1 961016 WO 9209444 920611 PATENT (CC, No, Kind, Date):

APPLICATION (CC, No, Date): EP 91920404 911122; WO 91GB2069 911122 PRI ORI TY (CC, No, Date): GB 9025390 901122

DESI GNATED STATES: AT; BE; CH; DE; DK; ES; FR; GR; IT; LI; LU; NL; SE INTERNATIONAL PATENT CLASS (V7): B42D-015/00; B42D-015/10;
CI TED PATENTS (WO A): EP 105099 A; GB 2093404 A; FR 2515396 A

CLAI MS EP 558574 B1

- 1. An authenticated item (3) carrying a number of optically diffracting areas characterised in that under white light illumination the optically diffracting areas generate a number of symbols (4A-4C; 6A-6C) identifiable to the naked eye, there being at least two sets of at least three symbols, wherein all the symbols within a set are substantially identical, and are positioned in a non-overlapping, regular geometric arrangement, and wherein the appearance of the symbols (4A-4C, 6A-6C) varies due to the variation in diffractive performance of the diffracting areas on viewing the diffracting areas at different inclination viewing angles in a manner to enable the item to be authenticated, and wherein the symbols within a set exhibit substantially the same optical appearance at at least one common viewing angle of inclination.
- An item according to claim 1, wherein the symbols (4A-4C) in a set vary regularly in their relative orientations. 2.
- An item according to claim 1 or claim 2, wherein the symbols (9–11) in a set vary regularly in their relative sizes.
- An item according to claim 3, wherein the symbols (9–11) making up a set are arranged in a line with the sizes of successive symbols
- decreasing regularly along the line. An item according to any of the preceding claims, wherein the symbols (4A-4C) in a set exhibit substantially the same optical
- performance at regularly spaced relative angles of rotation.
 An item according to any of the preceding claims, wherein the symbols (4A-4C) of one set are different from the symbols (6A-6C) of the other set.
- An item according to any of the preceding claims, wherein one symbol is common to both sets.
- An item according to any of the preceding claims, generates at least six symbols (4A-4C; 6A-6C). wherein the item
- An item according to any of the preceding claims, wherein the symbols (4A-4C; 6A-6C) in a set are substantially equally spaced
- 10. An item according to any of the preceding claims, wherein the symbols (4A-4C;6Ã-6C) are identifiable to the unassisted naked eye.
- 11. An item according to any of the preceding claims, wherein the symbols (6A-6C) of one set are interleaved with the symbols (4A-4C) of the other set.
- 12. An item according to any of the preceding claims, wherein the symbols (4A-4C; 6A-6C) of the sets are juxtaposed so as to define a number of composite symbols.
- 13. An item according to claim 12, wherein one of the symbols (6A-6C) comprises a closed contour which is positioned around at least one symbol (4A-4C) of one or more other sets of symbols.

- 14. An item according to claim 12 or claim 13, wherein the symbols (4A, 6A; 4B, 6B; 4C, 6C) making up the composite symbol exhibit differently varying optical performances as the viewing angle of inclination varies.
- 15. An item according to any of the preceding claims, wherein each symbol of one set overlaps at most one symbol of the other set.
 16. An item according to any of the preceding claims, wherein the preceding claims, wherein the preceding claims, wherein the preceding claims, wherein the preceding claims.
- symbols of the two sets exhibit mutually opposed variations in
- optical performance as the viewing angle of inclination varies.

 17. An item according to any of the preceding claims, wherein the symbols (4A-4C; 6A-6C) are presented against a background image (5).
- 18. An item according to claim 17, wherein the background image (5) is diffracting.
- 19. An item according to any of the preceding claims, wherein at least some of the symbols present the appearance of a pair of two dimensional images (34,36) which move relative to one another as the viewing angle of inclination varies.
- 20. An item according to any of the preceding claims, wherein at least some of the symbols present a three-dimensional object (40) in the form of an object hologram.
- 21. An authenticated item according to any of the preceding claims,
- wherein the item comprises a **security** document. 22. An item according to claim 21, wherein the **security** document is a
- 23. A **security** device for mounting to an article to be authenticated, the device comprising an authenticated item according to any of the preceding claims; and means for mounting the device to an article.

 24. A device according to claim 23, wherein the mounting means comprises
- heat or pressure sensitive adhesive to enable the device to be fixed to a surface of the article.
- 25. A device according to claim 23 or claim 24, wherein the device is such that it can be mounted on a flexible planar surface.

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26/3, K/18 (Item 18 from file: 348)
DIALOG(R) FILE 348: EUROPEAN PATENTS
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00782156
Reflecting type optical system
Optisches System mit reflektierenden Flachen
Systeme optique du type reflechissant
PATENT ASSIGNEE
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ATENT (CC, No, Kind, Date): EP 730169 A2 960904 (Basic)
EP 730169 A3 980422
PATENT (CC, No, Kind, Date):
                                         EP 730169
                                                       B1
                                                             020123
APPLICATION (CC, No, Date):
                                         EP 96102915 960227;
PRI CRI TY (CC, No, Date): JP 9565109 950228; JP 95123238 950424CLAI MS EP 730169
        An optical system of reflecting type, comprising an optical element
        composed of a transparent body having an entrance surface, an exit surface and at least three curved reflecting surfaces of internal
        reflection, wherein a light beam coming from an object and entering
        at the entrance surface is reflected from at least one of
        reflecting surfaces to form a primary image within said optical
        element and is, then, made to exit from the exit surface through the
        remaining reflecting surfaces to form an object image on a
        predetermined plane, and wherein 70% or more of the length of a reference axis in said optical element lies in one plane.
       An optical system of reflecting type according to claim 1, wherein a stop is located adjacent to the entrance surface of said optical
        el ement.
        An optical system of reflecting type according to claim 2, wherein
        the first curved reflecting surface of said optical element, when
       counted from an object side, has a converging action.

An optical system of reflecting type according to claim 3, wherein
        said first curved reflecting surface is formed to an ellipsoid of
        revolution.
        An optical system of reflecting type according to claim 4, wherein
        the shape of said first curved reflecting surface is expressed by
        using a local coordinate system (x,y,z) for said first curved reflecting surface and making coefficients representing the shape of
        a base zone of said first curved reflecting surface be denoted by
        a, b and t, and wherein, putting (Formula omitted) (Formula omitted) and defining (Formula omitted) the following conditions are satisfied: (Formula omitted) (Formula omitted) (Formula omitted) (Formula omitted) (Formula
```

omitted) (Formula`omitted) where'(theta) is an angle `

inclination of said first curved reflecting surface with respect to the reference axis and d is the distance between the center of said stop and said first curved reflecting surface as measured along the reference axis.